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| FORM PTO-1390 (REV. 12-2001) | | U.S. DEPARTMENT OF COMMERCE: PATENT AND TRADEMARK OFFICE | | ATTORNEY'S DOCKET NUMBER 045637.005 | |
| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 | | | | U.S. APPLICATION NO. (If known, see 37 CFR 1.6) 10/506843 To Be Assigned | |
| INTERNATIONAL APPLICATION NO. PCT/GB03/00939 | | INTERNATIONAL FILING DATE 06 March 2003 (06.03.2003) | | PRIORITY DATE CLAIMED 06 March 2002 (06.03.2002) | |
| TITLE OF INVENTION STOPPERS | | | | | |
| APPLICANT(S) FOR DO/EO/US TAYLOR, David Graham | | | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | |
| <ol style="list-style-type: none"> <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31). <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). <input checked="" type="checkbox"/> has been communicated by the International Bureau. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> <input type="checkbox"/> is attached hereto. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)). <ol style="list-style-type: none"> <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). <input checked="" type="checkbox"/> have been communicated by the International Bureau. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. <input type="checkbox"/> have not been made and will not be made. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (unsigned) <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | | | |
| Items 11 to 20 below concern document(s) or information included: | | | | | |
| <ol style="list-style-type: none"> <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. <input checked="" type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. <input type="checkbox"/> A substitute specification. <input type="checkbox"/> A change of power of attorney and/or address letter. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 – 1.825. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). <input checked="" type="checkbox"/> Other items or information: <ol style="list-style-type: none"> Coverpage of International Publication No. WO 03/074379 A2 (1 page); International Search Report (12 pages); Written Opinion (6 pages); International Preliminary Examination Report (12 pages); Certificate of Mailing Under 37 CFR § 1.10 (1 page); and Return Receipt Postcard. | | | | | |

U.S. APPLICATION NO. (if known, see 37 CFR 1.53)

107506843

INTERNATIONAL APPLICATION NO.
PCT/GB03/00939ATTORNEY'S DOCKET NUMBER
045637.00521. ☒ The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):**

Neither international preliminary examination fee (37 CFR 1.482)

nor international search fee (37 CFR 1.445(a)(2) paid to USPTO

and International Search Report not prepared by the EPO or JPO \$1,080.00

International preliminary examination fee (37 CFR 1.482) not paid to

USPTO but International Search Report prepared by the EPO or JPO \$920.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO

but international search fee (37 CFR 1.445(a)(2) paid to USPTO \$770.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO

but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$730.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO

and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =**CALCULATIONS PTO USE ONLY**Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(e)).

| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | \$ |
|---|--------------|--------------|------------|------------------|
| Total Claims | 41 - 20 = | 21 | x \$18.00 | \$ 378.00 |
| Independent Claims | 4 - 3 = | 1 | x \$86.00 | \$ 86.00 |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | + \$280.00 | \$ |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$ 464.00 |

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REGISTRATION NUMBER

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

10/506843

DT04 PCT/PTO 03 SEP 2004

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.10

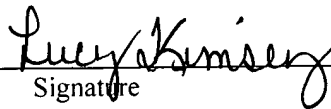
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as express mail in an envelope addressed to:

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on September 3, 2004

EV463457932US

Express Mail Label No. EV463457932US


Signature

Lucy Kimsey

Inventor(s) : TAYLOR, David Graham
Serial No. : To Be Assigned
Filing Date : Concurrent Herewith
Title : Stoppers
Atty. Dkt. No. : 045637.005

The correspondence includes the following documents:

1. \$1,384.00 Check for Filing Fee;
2. Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371 (2 pages, in duplicate);
3. Preliminary Amendment (6 pages);
4. Declaration (2 pages, unsigned);
5. Coverage of International Publication No. WO 03/074379 A2 (1 page);
6. International Search Report (12 pages);
7. Written Opinion (6 pages);
8. International Preliminary Examination Report (12 pages);
9. Information Disclosure Statement and Form PTO/SB/08a Information Disclosure Statement with 15 foreign patent references (210 pages);
10. Certificate of Mailing Under 37 CFR §1.10 (1 page); and
11. Return Receipt Postcard.